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PATENT - Docket No.: 56970U

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: **Bruce Ross**  
SERIAL NUMBER: **09/828,714**  
FILED: **April 6, 2001**  
FOR: **SYSTEM FOR AUTHORIZING TRANSACTIONS USING  
SPECIALLY FORMATTED SMART CARDS**

GROUP ART UNIT:

EXAMINER:

## Certificate of Mailing


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Sir:

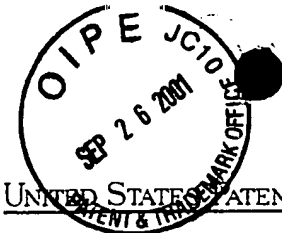
I, Cynthia M. Carpenter, hereby certify that the attached Extension of Time, Certificate of Mailing, Declaration, check in the amount of \$615.00 and Return Receipt Postcard are being deposited on September 24, 2001, with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

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Signature

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/828.714	04/06/2001	Bruce Ross	56970U

CONFIRMATION NO. 5603

## FORMALITIES LETTER



\*OC000000006108524\*

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Date Mailed: 05/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01 FC:201 355.00 DP  
02 FC:205 65.00 DP